

**Item 1: Cover Page
Part 2B of Form ADV: Brochure Supplement
December 2021**



INVESTMENT ADVISORS GROUP

Living for today, planning for tomorrow.

Craig Steven Albers, CFP®

**360 W College Ave
Jacksonville, Illinois, 62650
www.investmentadvisorsgroup.com**

**Firm Contact:
Craig Albers
Chief Compliance Officer**

This brochure supplement provides information about Mr. Albers that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Albers if you did not receive Investment Advisors Group's brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Albers is available on the SEC's website at www.adviserinfo.sec.gov by searching CRD #2376253.

Item 2: Educational Background & Business Experience

Craig Steven Albers, CFP®

Year of Birth: 1963

Educational Background:

- 1981-1982: The Broadcast Center; General Education with a focus in Broadcasting

Business Background:

- 09/2021 – Present Investment Advisors Group, LLC; Owner & CCO
- 09/2021 – Present LPL Financial LLC; Registered Representative
- 10/2008 – 09/2021 Stifel, Nicolaus & Company, Inc.; Advisor

Exams, Licenses & Other Professional Designations:

- 1993: Series 6 and Series 63 Exams
- 1999: CERTIFIED FINANCIAL PLANNER™, CFP®, Series 7 and Series 65 Exams
- 2009: Series 31 Exam

CERTIFIED FINANCIAL PLANNER™, CFP®

The CFP® certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP® Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam and agreeing to be bound by the CFP® board's *Standard of Professional Conduct*. As a prerequisite, the individual must have a Bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the *Standards of Professional Conduct*.

Item 3: Disciplinary Information¹

There are no legal or disciplinary events material to the evaluation of Mr. Albers.

Item 4: Other Business Activities

Mr. Albers is a registered representative of LPL Financial LLC, member FINRA/SIPC, and licensed insurance agent/broker. He may offer products and receive normal and customary commissions as

¹ Note: Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving the advisor to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of advisor to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation earned. To mitigate this potential conflict, Mr. Albers, as a fiduciary, will act in the client's best interest.

Item 5: Additional Compensation

Mr. Albers does not receive any other economic benefit for providing advisory services in addition to advisory fees.

Item 6: Supervision

Mr. Albers is the sole owner and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics.

Item 7: Requirements for State-Registered Advisers

Mr. Albers has not been involved in any arbitration claim alleging damages in excess of \$2,500. Furthermore, he has neither been involved in nor found liable in any civil, self-regulatory organization, or administrative proceeding nor has been the subject of any bankruptcy petitions.